

05-01-01

PTO/SB/05 (4/98)

Please type a plus (+) sign in this box →

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. S01.12-0721

First Inventor or Application Identifier

Ladislav R. Pust

Title

THERMAL COMPENSATION FOR HEAD
PROTRUSION IN A MAGNETIC DRIVE

Express Mail Label No.

EL844343108US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Sheets **21**]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets **8**]
4. Oath or Declaration [Total Sheets **3**]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (Identical to computer copy)
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document
10. ☒ Information Disclosure Statement and references (IDS/PTO - PTO) ☐ Copies of IDS
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ *Small Entity Statement(s) filed in prior application. Status still proper and desired (PTO/SB/09-12) ☐ Statement filed in prior application.
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Request and Cert. Under 35 USC 122 (Non-Pub)
16. ☒ Other: Our checks in the amounts of \$710.00 and \$40.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation -in part (CIP)

of prior application No: _____

Prior application information:

Examiner _____

Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	David C. Bohn WESTMAN CHAMPLIN & KELLY					
Address	Suite 1600 - International Centre 900 South Second Avenue					
City	Minneapolis	State	MN	Zip Code	55402-3319	
Country	USA	Telephone	(612) 334-3222	Fax	(612) 334-3312	

Name (Print/type)	David C. Bohn	Registration No. (Attorney/Agent)	32.015
Signature	<i>David C. Bohn</i>	Date	4/30/01

FEE TRANSMITTAL

Complete if Known

Application No. _____
 Filing Date Herewith
 First Named Inventor Ladislav R. Pust
 Title THERMAL COMPENSATION FOR HEAD PROTRUSION IN A MAGNETIC DRIVE
 Group Art Unit _____
 Examiner Name _____
 Atty. Docket Number S01.12-0721

Total Amount of Payment \$ 710

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Code	Fee Description
710	355	101	<input checked="" type="checkbox"/> Utility Filing Fee
320	160	106	<input type="checkbox"/> Design Filing Fee
710	355	108	<input type="checkbox"/> Reissue Filing Fee
150	75	114	<input type="checkbox"/> Prov. Filing Fee

Subtotal (1) \$ 710

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	19	20	0	18	0
Indep.	3	3	0	80	0

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Fee	Small Entity Fee	Code	Description
18	9	103	Claims in excess of 20
80	40	102	Independent claims in excess of 3
270	135	104	Multiple Dependent Claims
80	40	109	Reissue Independent Claims over Original Patent
18	9	110	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 0

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Code	Fee Description	Fee Paid
130	65	105	Surcharge - Late filing fee or oath	
50	25	127	Surcharge - Late provisional Filing Fee or cover sheet	
130	130	139	Non-English specification	
2,520	2,520	147	For Filing a Request for Reexamination	
110	55	115	Extension for reply within first month	
390	195	116	Extension for reply within second month	
890	445	117	Extension for reply within third month	
1,390	695	118	Extension for reply within fourth month	
1,890	945	128	Extension for reply within fifth month	
310	155	120	Filing a brief in support of an appeal	
270	135	121	Request for oral hearing	
110	55	148	Terminal Disclaimer Fee	
110	55	140	Petition to Revive - unavoidable	
1,240	620	141	Petition to Revive - unintentional	
1,270	650	142	Utility/Reissue issue fee (inc. advance copies)	
470	250	143	Design issue fee (inc. advance copies)	
130	130	122	Petitions to the Commissioner	
50	50	123	Petitions related to provisional applications	
180	180	126	Submission of Information Disclosure Statement	
40	40	581	Recording each patent assignment per property (times number of properties)	

Other Fee (specify) _____

Subtotal (3) \$

Signature David C. Bohn
 (David C. Bohn)

Reg. No. 32,015

Date 4/30/01

Deposit Account No. 23-1123

11040 U.S. PTO
 09/845619



04/30/01

FEE TRANSMITTAL		Complete if Known																																																																																																																																			
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Signature

(David C. Bohn)

Reg. No. 32,015

Date

4/30/61

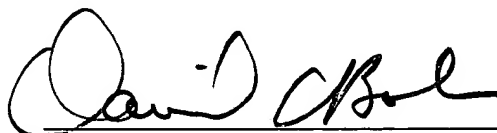
Deposit Account No. 23-1123

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		Ladislav R. Pust
	Title	THERMAL COMPENSATION FOR HEAD PROTRUSION IN A MAGNETIC DRIVE	
	Atty Docket Number		S01.12-0721

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

4/30/2001

Date



Signature

David C. Bohn

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**